

1132 U.S. PTO
03/07/02

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.	2314-224-II
First Inventor	Baldomero M. OLIVERA
Title	LINEAR γ -CARBOXYGLUTAMATE RICH CONOTOXINS

☒ **Assignee Information:** University of Utah Research Foundation (Salt Lake City, Utah)
Cognetix, Inc. (Salt Lake City, Utah)

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, D.C. 20231
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1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ Specification Total pages [52]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) (Total Sheets) []
5. ☐ Oath or Declaration (Total Pages) []
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☒ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper (61 pages)
 - c. ☒ Statement verifying identity of above copies
- ACCOMPANYING APPLICATION PARTS**
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
 - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
 - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

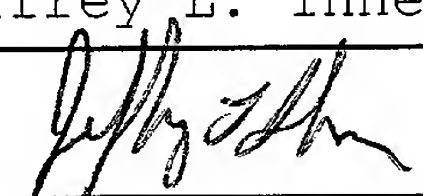
1132 U.S. PTO
10/09236
03/07/02

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
Prior application information: Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

Address		Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W.			
City	Washington	State	D.C.	Zip Code	20005
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Jeffrey L. Ihnen, Reg. No. 28,957				
Signature				Date	7 March 2002


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	<i>Application Number</i>	(to be assigned)
	<i>Filing Date</i>	07 March 2002
	<i>First Named Inventor</i>	Baldomero M. OLIVERA
	<i>Group Art Unit</i>	
	<i>Examiner Name</i>	
	<i>Attorney Docket No.</i>	2314-224-II
Title of the Invention: LINEAR γ-CARBOXYGLUTAMATE RICH-CONOTOXINS		

STATEMENT PURSUANT TO 37 CFR 1.821(f)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In the matter of the above-identified application, Applicants submit herewith a computer disk containing the Sequence Listing. It is hereby certified that the content of the paper and computer copies of said Sequence Listing are identical.

RESPECTFULLY SUBMITTED,					
NAME AND REG. NUMBER	Jeffrey L. Ihnen, Reg. No. 28,957				
SIGNATURE				DATE	07 MARCH 2002
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